CHAPTER 1

Overview of Cryptography and Its Applications

People have always had a fascination with keeping information away from others. As children, many of us had magic decoder rings for exchanging coded messages with our friends and possibly keeping secrets from parents, siblings, or teachers. History is filled with examples where people tried to keep information secret from adversaries. Kings and generals communicated with their troops using basic cryptographic methods to prevent the enemy from learning sensitive military information. In fact, Julius Caesar reportedly used a simple cipher, which has been named after him.

As society has evolved, the need for more sophisticated methods of protecting data has increased. Now, with the information era at hand, the need is more pronounced than ever. As the world becomes more connected, the demand for information and electronic services is growing, and with the increased demand comes increased dependency on electronic systems. Already the exchange of sensitive information, such as credit card numbers, over the Internet is common practice. Protecting data and electronic systems is crucial to our way of living.

The techniques needed to protect data belong to the field of cryptography. Actually, the subject has three names, **cryptography**, **cryptology**, and **cryptanalysis**, which are often used interchangeably. Technically, however, cryptology is the all-inclusive term for the study of communication over nonsecure channels, and related problems. The process of designing systems to do this is called cryptography. Cryptanalysis deals with breaking such

systems. Of course, it is essentially impossible to do either cryptography or cryptanalysis without having a good understanding of the methods of both arens.

Often the term **coding theory** is used to describe cryptography; however, this can lead to confusion. Coding theory deals with representing input information symbols by output symbols called code symbols. There are three basic applications that coding theory covers: compression, secrecy, and error correction. Over the past few decades, the term coding theory has become associated predominantly with error correcting codes. Coding theory thus studies communication over noisy channels and how to ensure that the message received is the correct message, as opposed to cryptography, which protects communication over nonsecure channels.

Although error correcting codes are only a secondary focus of this book, we should emphasize that, in any real-world system, error correcting codes are used in conjunction with encryption, since the change of a single bit is enough to destroy the message completely in a well-designed cryptosystem.

Modern cryptography is a field that draws heavily upon mathematics, computer science, and cleverness. This book provides an introduction to the mathematics and protocols needed to make data transmission and electronic systems secure, along with techniques such as electronic signatures and secret sharing.

1.1 Secure Communications

In the basic communication scenario, depicted in Figure 1.1, there are two parties, we'll call them Alice and Bob, who want to communicate with each other. A third party, Eve, is a potential eavesdropper.

When Alice wants to send a message, called the **plaintext**, to Bob, she encrypts it using a method prearranged with Bob. Usually, the encryption method is assumed to be known to Eve; what keeps the message secret is a key. When Bob receives the encrypted message, called the **ciphertext**, he changes it back to the plaintext using a decryption key.

Eve could have one of the following goals:

1. Read the message.
2. Find the key and thus read all messages encrypted with that key.
3. Corrupt Alice's message into another message in such a way that Bob will think Alice sent the altered message.
4. Masquerade as Alice, and thus communicate with Bob even though Bob believes he is communicating with Alice.

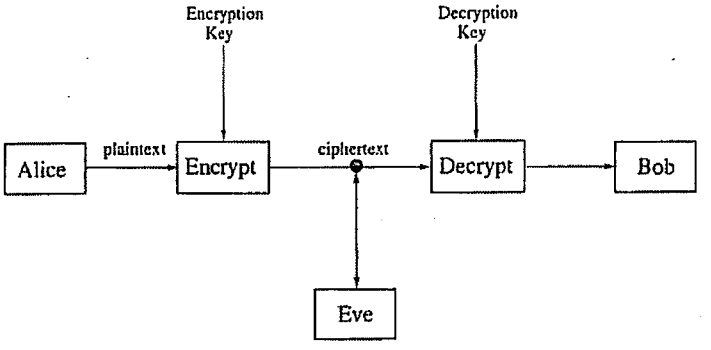

Figure 1.1: The Basic Communication Scenario for Cryptography.

Which case we're in depends on how evil Eve is. Cases (3) and (4) relate to issues of integrity and authentication, respectively. We'll discuss these shortly. A more active and malicious adversary, corresponding to cases (3) and (4), is sometimes called Mallory in the literature. More passive observers (as in cases (1) and (2)) are sometimes named Oscar. We'll generally use only Eve, and assume she is as bad as the situation allows.

1.1.1 Possible Attacks

There are four main types of attack that Eve might be able to use. The differences among these types of attacks are the amounts of information Eve has available to her when trying to determine the key. The four attacks are as follows:

1. **Ciphertext only:** Eve has only a copy of the ciphertext.
2. **Known plaintext:** Eve has a copy of a ciphertext and the corresponding plaintext. For example, suppose Eve intercepts an encrypted press release, then sees the decrypted release the next day. If she can deduce the decryption key, and if Alice doesn't change the key, Eve can read all future messages. Or, if Alice always starts her messages with "Dear Bob," then Eve has a small piece of ciphertext and corresponding plaintext. For many weak cryptosystems, this suffices to find the key. Even for stronger systems such as the German Enigma machine used in World War II, this amount of information has been useful.
3. **Chosen plaintext:** Eve gains temporary access to the encryption machine. She cannot open it to find the key; however, she can encrypt a

large number of suitably chosen plaintexts and try to use the resulting ciphertexts to deduce the key.

4. **Chosen ciphertext:** Eve obtains temporary access to the decryption machine, uses it to "decrypt" several strings of symbols, and tries to use the results to deduce the key.

A chosen plaintext attack could happen as follows. You want to identify an airplane as friend or foe. Send a random message to the plane, which encrypts the message automatically and sends it back. Only a friendly airplane is assumed to have the correct key. Compare the message from the plane with the correctly encrypted message. If they match, the plane is friendly. If not, it's the enemy. However, the enemy can send a large number of chosen messages to one of your planes and look at the resulting ciphertexts. If this allows them to deduce the key, the enemy can equip their planes so they can masquerade as friendly.

An example of a known plaintext attack reportedly happened in World War II in the Sahara Desert. An isolated German outpost every day sent an identical message saying that there was nothing new to report, but of course it was encrypted with the key being used that day. So each day the Allies had a plaintext-ciphertext pair that was extremely useful in determining the key. In fact, during the Sahara campaign, General Montgomery was carefully directed around the outpost so that the transmissions would not be stopped.

One of the most important assumptions in modern cryptography is **Kerckhoffs's principle:** In assessing the security of a cryptosystem, one should always assume the enemy knows the method being used. This principle was enunciated by Auguste Kerckhoffs in 1883 in his classic treatise *La Cryptographie Militaire*. The enemy can obtain this information in many ways. For example, encryption/decryption machines can be captured and analyzed. Or people can defect or be captured. The security of the system should therefore be based on the key and not on the obscurity of the algorithm used. Consequently, we always assume that Eve has knowledge of the algorithm that is used to perform encryption.

1.1.2 Symmetric and Public Key Algorithms

Encryption/decryption methods fall into two categories: **symmetric key** and **public key**. In symmetric key algorithms, the encryption and decryption keys are known to both Alice and Bob. For example, the encryption key is shared and the decryption key is easily calculated from it. In many cases, the encryption key and the decryption key are the same. All of the classical (pre-1970) cryptosystems are symmetric, as are the more recent Data Encryption Standard (DES) and Advanced Encryption Standard (AES).

Public key algorithms were introduced in the 1970s and revolutionized cryptography. Suppose Alice wants to communicate securely with Bob, but they are hundreds of kilometers apart and have not agreed on a key to use. It seems almost impossible for them to do this without first getting together to agree on a key, or using a trusted courier to carry the key from one to the other. Certainly Alice cannot send a message over open channels to tell Bob the key, and then send the ciphertext encrypted with this key. The amazing fact is that this problem has a solution, called public key cryptography. The encryption key is made public, but it is computationally infeasible to find the decryption key without information known only to Bob. The most popular implementation is RSA (see Chapter 6), which is based on the difficulty of factoring large integers. Other versions (see Chapters 7, 17, and 18) are the ElGamal system (based on the discrete log problem), NTRU (lattice based) and the McEliece system (based on error correcting codes).

Here is a nonmathematical way to do public key communication. Bob sends Alice a box and an unlocked padlock. Alice puts her message in the box, locks Bob's lock on it, and sends the box back to Bob. Of course, only Bob can open the box and read the message. The public key methods mentioned previously are mathematical realizations of this idea. Clearly there are questions of authentication that must be dealt with. For example, Eve could intercept the first transmission and substitute her own lock. If she then intercepts the locked box when Alice sends it back to Bob, Eve can unlock her lock and read Alice's message. This is a general problem that must be addressed with any such system.

Public key cryptography represents what is possibly the final step in an interesting historical progression. In the earliest years of cryptography, security depended on keeping the encryption method secret. Later, the method was assumed known, and the security depended on keeping the (symmetric) key private or unknown to adversaries. In public key cryptography, the method and the encryption key are made public, and everyone knows what must be done to find the decryption key. The security rests on the fact (or hope) that this is computationally infeasible. It's rather paradoxical that an increase in the power of cryptographic algorithms over the years has corresponded to an increase in the amount of information given to an adversary about such algorithms.

Public key methods are very powerful, and it might seem that they make the use of symmetric key cryptography obsolete. However, this added flexibility is not free and comes at a computational cost. The amount of computation needed in public key algorithms is typically several orders of magnitude more than the amount of computation needed in algorithms such as DES or Rijndael. The rule of thumb is that public key methods should not be used for encrypting large quantities of data. For this reason, public key methods are used in applications where only small amounts of data must

computing resources), so now numbers of several hundred digits are recommended for security. But if a full-scale quantum computer is ever built, factorizations of even these numbers will be easy, and the whole RSA scheme (along with many other methods) will need to be reconsidered.

A natural question, therefore, is whether there any unbreakable cryptosystems, and why aren't they used all the time?

The answer is yes; there is a system, known as the one-time pad, that is unbreakable. Even a brute force attack will not yield the key. But the unfortunate truth is that the expense of using a one-time pad is enormous. It requires exchanging a key that is as long as the plaintext, and even then the key can only be used once. Therefore, one opts for algorithms that, when implemented correctly with the appropriate key size, are unbreakable in any reasonable amount of time.

An important point when considering key size is that, in many cases, one can mathematically increase security by a slight increase in key size, but this is not always practical. If you are working with chips that can handle words of 64 bits, then an increase in the key size from 64 to 65 bits could mean redesigning your hardware, which could be expensive. Therefore, designing good cryptosystems involves both mathematical and engineering considerations.

Finally, we need a few words about the size of numbers. Your intuition might say that working with a 20-digit number takes twice as long as working with a 10-digit number. That is true in some algorithms. However, if you count up to 10^{10}, you are not even close to 10^{20}; you are only one 10 billionth of the way there. Similarly, a brute force attack against a 60-bit key takes a billion times longer than one against a 30-bit key.

There are two ways to measure the size of numbers: the actual magnitude of the number n, and the number of digits in its decimal representation (we could also use its binary representation), which is approximately $\log_{10}(n)$. The number of single-digit multiplications needed to square a k-digit number n, using the standard algorithm from elementary school, is k^2, or approximately $(\log_{10} n)^2$. The number of divisions needed to factor a number n by dividing by all primes up to the square root of n is around $n^{1/2}$. An algorithm that runs in time a power of $\log n$ is much more desirable than one that runs in time a power of n. In the present example, if we double the number of digits in n, the time it takes to square n increases by a factor of 4, while the time it takes to factor n increases enormously. Of course, there are better algorithms available for both of these operations, but, at present, factorization takes significantly longer than multiplication.

We'll meet algorithms that take time a power of $\log n$ to perform certain calculations (for example, finding greatest common divisors and doing modular exponentiation). There are other computations for which the best known algorithms run only slightly better than a power of n (for example, factoring and finding discrete logarithms). The interplay between the fast algorithms and the slower ones is the basis of several cryptographic algorithms that we'll encounter in this book.

1.2 Cryptographic Applications

Cryptography is not only about encrypting and decrypting messages, it is also about solving real-world problems that require information security. There are four main objectives that arise:

1. **Confidentiality:** Eve should not be able to read Alice's message to Bob. The main tools are encryption and decryption algorithms.

2. **Data integrity:** Bob wants to be sure that Alice's message has not been altered. For example, transmission errors might occur. Also, an adversary might intercept the transmission and alter it before it reaches the intended recipient. Many cryptographic primitives, such as hash functions, provide methods to detect data manipulation by malicious or accidental adversaries.

3. **Authentication:** Bob wants to be sure that only Alice could have sent the message he received. Under this heading, we also include identification schemes and password protocols (in which case, Bob is the computer). There are actually two types of authentication that arise in cryptography: entity authentication and data-origin authentication. Often the term *identification* is used to specify entity authentication, which is concerned with proving the identity of the parties involved in a communication. Data-origin authentication focuses on tying the information about the origin of the data, such as the creator and time of creation, with the data.

4. **Non-repudiation:** Alice cannot claim she did not send the message. Non-repudiation is particularly important in electronic commerce applications, where it is important that a consumer cannot deny the authorization of a purchase.

Authentication and non-repudiation are closely related concepts, but there is a difference. In a symmetric key cryptosystem, Bob can be sure that a message comes from Alice (or someone who knows Alice's key) since no one else could have encrypted the message that Bob decrypts successfully. Therefore, authentication is automatic. However, he cannot prove to anyone else that Alice sent the message, since he could have sent the message

himself. Therefore, non-repudiation is essentially impossible. In a public key cryptosystem, both authentication and non-repudiation can be achieved (see Section 6.7 and Chapter 9).

Much of this book will present specific cryptographic applications, both in the text and as exercises. Here is an overview.

Digital signatures: One of the most important features of a paper and ink letter is the signature. When a document is signed, an individual's identity is tied to the message. The assumption is that it is difficult for another person to forge the signature onto another document. Electronic messages, however, are very easy to copy exactly. How do we prevent an adversary from cutting the signature off one document and attaching it to another electronic document? We shall study cryptographic protocols that allow for electronic messages to be signed in such a way that everyone believes that the signer was the person who signed the document, and such that the signer cannot deny signing the document.

Identification: When logging into a machine or initiating a communication link, a user needs to identify himself or herself. But simply typing in a user name is not sufficient as it does not prove that the user is really who he or she claims to be. Typically a password is used. We shall touch upon various methods for identifying oneself. In the chapter on DES we discuss password files. Later, we present the Feige-Fiat-Shamir identification scheme, which is a zero-knowledge based method for proving identity without revealing a password.

Key establishment: When large quantities of data need to be encrypted, it is best to use symmetric key encryption algorithms. But how does Alice give the secret key to Bob when she doesn't have the opportunity to meet him personally? There are various ways to do this. One way uses public key cryptography. Another method is the Diffie-Hellman key exchange algorithm. A different approach to this problem is to have a trusted third party give keys to Alice and Bob. Two examples are Blom's key generation scheme and Kerberos, which is a very popular symmetric cryptographic protocol that provides authentication and security in key exchange between users on a network.

Secret sharing: In Chapter 12, we introduce secret sharing schemes. Suppose that you have a combination to a bank safe, but you don't want to trust any single person with the combination to the safe. Rather, you would like to divide the combination among a group of people, so that at least two of these people must be present in order to open the safe. Secret sharing solves this problem.

Security protocols: How can we carry out secure transactions over open channels such as the Internet, and how can we protect credit card information from fraudulent merchants? We discuss various protocols, such as SSL and SET.

Electronic cash: Credit cards and similar devices are convenient but do not provide anonymity. Clearly a form of electronic cash could be useful, at least to some people. However, electronic entities can be copied. We give an example of an electronic cash system that provides anonymity but catches counterfeiters.

Games: How can you flip coins or play poker with people who are not in the same room as you? Dealing the cards, for example, presents a problem. We show how cryptographic ideas can solve these problems.